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PTO/SB/05 (11/00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.	930074-2008
First Inventor	Hsuan-Lung WU
Title	CHUCK ASSEMBLY WITH A SEALING MEMBER
Express Mail Label No.	EV345014277US

BTO 15 II. S.

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## **APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**Addressed to: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

- |   |  |   |
|---|--|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original, and a duplicate for fee processing)</i>                                    | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)                    |   |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>                           |   |
| 3. <input checked="" type="checkbox"/> Specification<br><i>(preferred arrangement set forth below)</i>  | a. <input type="checkbox"/> Computer Readable Form (CFR)   |   |
| - Descriptive title of the invention  | b. Specification sequence Listing on:  |   |
| - Cross References to Related Applications  | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  |   |
| - Statement Regarding Fed sponsored R & D   | ii. <input type="checkbox"/> paper   |   |
| - Reference to sequence listing, a table, or a computer program listing appendix.   | c. <input type="checkbox"/> Statements verifying identity of above copies  |   |
| - Background of the Invention   | <b>ACCOMPANYING APPLICATION PARTS</b>  |   |
| - Brief Summary of the Invention  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))   |   |
| - Brief Description of the Drawings ( <i>if filed</i> )   | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement  | <input type="checkbox"/> Power of Attorney                  |
| - Detailed Description  | <i>(when there is an assignee)</i>   |   |
| - Claim(s)  | <input type="checkbox"/> Power of Attorney   |   |
| - Abstract of the Disclosure  | 11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )                                     |   |
| 4. <input checked="" type="checkbox"/> Drawing(s)(35 U.S.C. 113) [Total Sheets 7]   | 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449                                | <input checked="" type="checkbox"/> Copies of IDS Citations |
| 5. Oath or Declaration [Total Pages 3]  | 13. <input type="checkbox"/> Preliminary Amendment   |   |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i> |   |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><i>(for continuation/divisional with Box 18 completed)</i>  | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>         |   |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(                                      |   |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.  |  |   |

#### **ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & documents(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*

11.  Power of Attorney

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach from PTO/SB/35 or its equivalent.

17.  Other: check for \$375

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)  
Prior application information:      Examiner:

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_  
Group/Art Unit:

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	20999	or <input type="checkbox"/> Correspondence address below	
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	Ronald R. Santucci	Registration No. (Attorney/Agent)	28,988
Signature	Ronald R. Santucci	Date	August 19, 2003

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$375.00)

## Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Attorney Docket No.

930074-2008

## METHOD OF PAYMENT (check all that apply)

 Check     Credit Card     Money Order     Other     None

 Deposit Account:

Deposit Account Number	50-0320
Deposit Account Name	Frommer Lawrence & Haug LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below     Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity    Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or Cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for ex parte reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action

Fee Paid

1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1450	2254	725	Extension for reply within fourth month
1255	1970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1300	2453	650	Petition to revive - unintentional
1501	1300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for continued examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify)

SUBTOTAL (3)

(\$0)

\*\* or number previously paid, if greater; For Reissues, see above

\* Reduced by Basic Filing Fee

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Ronald R. Santucci

Registration No.  
(Attorney/Agent)

28,988

Telephone 212-588-0800

Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggesting or reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AFCommissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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